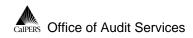


Risk Assessment Fiscal Years 2007-08 and 2008-09

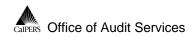
Auditable Areas and Audit Coverage - Support Programs

	Actual		Planned		
Auditable Areas	2004-05	2005-06	2006-07	2007-08	2008-09
Diversity Outreach Program					
Annual workforce					
Bilingual survey					
Business connections					
Diversity program					
Equal employment opportunity					
investigations					
Office of Enterprise Compliance					
Investment monitoring					
Health					
Retirement					
Fiscal Services Division					
Administrative accounting					
Annual financial statement audit/other					
attestation					
Budget management					
Cashiering					
Directed brokerage and commission					
recapture					
Enterprise Management of Billing,					
Accounts Receivables and Collections					
(EMBARC)					
Fund accounting					
Investment accounting					
Pension System Resumption (PSR) project					
PeopleSoft financial system					
PERF reserve reconciliation					
Prefunding plan for health plans					
Prime broker					
Real estate accounting					
Retirement program accounting					
Review and analysis of CalPERS financial					
statements					
Office of Governmental Affairs					
Legislation implementation and tracking					
Human Resources Division					
Benefits and enrollments					
Classification and review process					



Risk Assessment Fiscal Years 2007-08 and 2008-09

	Actual			Planned	
Auditable Areas	2004-05	2005-06	2006-07	2007-08	2008-09
Employee relations					
Executive performance/compensation					
Payroll and personnel transactions					
Recruitment and exams					
Staff training and development					
Information Security Office					
Acquisition and maintenance of technology infrastructure					
Information system security and confidentiality acknowledgement compliance					
IT security risk analysis and management (SAM 4842)					
Logical safeguard					
Physical safeguard					
System security & technical safeguards of electronic confidential information					
Information Technology Services Branch					
Acquisition and maintenance of application software					
Change control management					
Computer operations management review					
Configuration management					
Data management					
Identity and access management					
Inquiries, incident, and problem management					
IT cost identification and allocation					
IT resource procurement					
Management of continuous services (disaster recovery)					
Management of third party services					
Pension System Resumption (PSR) project					
Release management					
Service level management					
Software management (SAM 4846)					
System architecture management					
System documentation and operational manual					



Risk Assessment Fiscal Years 2007-08 and 2008-09

	Actual			Planned	
Auditable Areas	2004-05		2006-07		
System performance and capacity					
management					
System security review					
User training and education					
Legal Office					
Legal fees for outside counsel					
Office of Audit Services					
Continuous monitoring initiative					
Financial Integrity and State Manager's Accountability (FISMA)					
Fraud risk assessment initiative					
Operations Support Services Division		j			
Board election management					
Business continuity					
Central receiving					
Document distribution					
Facilities management					
Management services					
Property management					
Record management					
Reproduction services					
Space planning/headquarters move					
Triennial review of contract and					
purchasing activities					
Office of Public Affairs					
Comprehensive Annual Financial Report					
consolidation					
R Street Project					
Contract audit Handayantan armanaian					
Contract audit - Headquarter expansion project - consulting services					
project - consuming services					
Headquarters expansion project -					
activation and occupancy					
Strategic Management Services Division					
Enterprise transition management					